



PATENT
torney Docket No. 046700-5057
Page 1 of 7

2161
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IN THE UNITED STATES PATENT TRADEMARK OFFICE

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Technology Center 2100

In re Application of: Annamalai et al.

Application No.: 10/047,766

Filed: January 15, 2002

For: MULTIPLE AWARD OPTIMIZATION

Group Art Unit: 2161

Examiner: Unassigned

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.**

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicants cite the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name Kim R. Jessum Signature Kim R. Jessum Date of Deposit June 27, 2002

In compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Form PTO-1449 (3 sheets) are provided.

U.S. Patents

<u>Patent No.</u>	<u>Issue Date</u>	<u>Patentee</u>
3,581,072	5/25/71	Nymeyer
3,863,060	1/28/75	Rode, et al.
4,597,045	6/24/86	Kiuchi
4,674,044	6/16/87	Kalmus, et al.
4,789,928	12/6/88	Fujisaki
4,799,156	1/17/89	Shavit, et al.
4,845,625	7/4/89	Stannard
4,992,940	2/12/91	Dworkin
5,136,501	8/4/92	Silverman, et al.
5,193,056	3/9/93	Boes
5,243,515	9/7/93	Lee
5,297,032	3/22/94	Trojan, et al.
5,375,055	12/20/94	Togher, et al.
5,394,324	2/28/95	Clearwater
5,402,336	3/28/95	Spiegelhoff, et al.
5,606,602	2/25/97	Johnson, et al.
5,629,982	5/13/97	Micali
5,640,569	6/17/97	Miller, et al.
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5,689,652	11/18/97	Lupien, et al.
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5,727,165	3/10/98	Ordish, et al.
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5,794,219	8/11/98	Brown
5,797,127	8/18/98	Walker, et al.
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5,799,285	8/25/98	Klingman
5,802,502	9/1/98	Gell, et al.
5,803,500	9/8/98	Mossberg
5,809,483	9/15/98	Broka, et al.
5,826,244	10/20/98	Huberman
5,832,496	11/03/98	Anand, et al.
5,835,896	11/10/98	Fisher, et al.
5,862,223	1/19/99	Walker, et al.
5,890,138	3/30/99	Godin, et al.
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5,905,974	5/18/99	Fraser, et al.
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5,966,699	10/12/99	Zandi
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6,021,398	2/1/00	Ausubel
6,023,685	2/8/00	Brett, et al.
6,044,363	3/28/00	Mori, et al.
6,055,518	4/25/00	Franklin, et al.
6,058,379	5/2/00	Odom, et al.
6,061,663	5/9/00	Bloom, et al.
6,078,906	6/20/00	Huberman
6,119,229	9/12/00	Martinez, et al.
6,151,589	11/21/00	Aggarwal, et al.
6,161,099	12/12/00	Harrington, et al.
6,199,050	3/6/01	Alaia, et al.
6,266,652	07/24/01	Godin, et al.
6,366,891	4/2/2002	Feinberg

Foreign Patents

<u>Publication No.</u>	<u>Publication Date</u>	<u>Country</u>
0399850A	11/28/90	EP
WO 92/15174	9/3/92	WIPO
WO 97/37315	10/9/97	WIPO
WO 98/34187	8/6/98	WIPO
WO 99/63461	12/9/99	WIPO

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Other Publications

Andrews, "Auctions Catch the Interest of Hobbyists and Big Business", August 24, 1998

Associated Press, "Auction on Web is Buyer's Market", April 6, 1998

"BroadVision Developing First Interactive Commerce Management System To Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture", Business Wire, p5150152, May 15, 1995

Danny Cohen, "Computerized Commerce", Information Processing 89, August 28-September 1, 1989

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"Online bidding software", Electronic Buyers' News, Issue 1072, p86, 1/6p, August 25, 1997

Sairamesh, et al., "Economic Framework for Pricing and Charging Digital Libraries", D-Lip Magazine, February 1996

"Sold!...To the Lowest Bidder", Computer Finance, v6, n2, July 1995

"Venture Capitalists Fund Two Massachusetts Internet Related Companies", Boston Globe, January 14, 1998

Vigoroso, "Buyers prepare for brave new world of e-commerce", Purchasing, v126, n6, pS4(1), April 22, 1999

Von der Fehr, et al., "Predatory bidding in sequential auctions", Oxford Economics Papers, v46, n3, p345(12), July 1994

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Wilder, "What's Your Bid? - FreeMarkets' real-time online bidding technology lets clients drive downcosts and improve product value", InformationWeek, November 10, 1997

Woolley, "E-muscle", Forbes, March 9, 1998

Applicant respectfully requests that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO-1449 (3 sheets) with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

June 27, 2002
Date

By: Kim R. Jessum
Kim R. Jessum
Reg. No. 43,694
Customer No. 028977
MORGAN, LEWIS & BOCKIUS, LLP
1701 Market Street
Philadelphia, PA 19103-2921
Telephone: (215) 963-4753
Facsimile: (215) 963-5299

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Application Number	10/047,766
Filing Date	January 15, 2002
First Named Inventor	Annamalai et al.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	046700-5057

(use as many sheets as necessary)

Sheet 1 of 3

U.S. PATENT DOCUMENTS

Exr Initials	U.S. Patent Document Number	Kind Code (if known)	Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	3,581,072		Nymeyer	5/25/71
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	4,845,625		Stannard	7/4/89
	4,992,940		Dworkin	2/12/91
	5,136,501		Silverman, et al.	8/4/92
	5,193,056		Boes	3/9/93
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	5,394,324		Clearwater	2/28/95
	5,402,336		Spiegelhoff, et al.	3/28/95
	5,606,602		Johnson, et al.	2/25/97
	5,629,982		Micali	5/13/97
	5,640,569		Miller, et al.	6/17/97
	5,664,115		Fraser	9/2/97
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	5,689,652		Lupien, et al.	11/18/97
	5,715,402		Popolo	2/3/98
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	5,758,328		Giovannoli	5/26/98
	5,765,138		Aycock, et al.	6/9/98
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	5,797,127		Walker, et al.	8/18/98
	5,799,151		Hoffer	8/25/98
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	5,803,500		Mossberg	9/8/98
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	5,826,244		Huberman	10/20/98
	5,832,496		Anand, et al.	11/03/98
	5,835,896		Fisher, et al.	11/10/98
	5,862,223		Walker, et al.	1/19/99

Examiner
Signature

/Bijendra K. Shrestha/

Date
Considered

09/10/2010

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BKS/

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/047,766
		Filing Date	January 15, 2002
		First Named Inventor	Annamalai et al.
		Group Art Unit	2161
		Examiner Name	Unassigned
Sheet 2 of 3	Attorney Docket Number	046700-5057	

5,890,138	Godin, et al.	3/30/99
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5,905,974	Fraser, et al.	5/18/99
5,905,975	Ausubel	5/18/99
5,915,209	Lawrence	6/22/99
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6,014,627	Togher, et al.	1/11/00
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6,023,685	Brett, et al.	2/8/00
6,044,363	Mori, et al.	3/28/00
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6,058,379	Odom, et al.	5/2/00
6,061,663	Bloom, et al.	5/9/00
6,078,906	Huberman	6/20/00
6,119,229	Martinez, et al.	9/12/00
6,151,589	Aggarwal, et al.	11/21/00
6,161,099	Harrington, et al.	12/12/00
6,199,050	Alaia, et al.	3/6/01
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FOREIGN PATENT DOCUMENTS						
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ₁
	Country Code	Number	Kind Code (if known)			
	EP	0399850A			11/28/90	
	WIPO	WO 92/15174			9/3/92	
	WIPO	WO 97/37315			10/9/97	
	WIPO	WO 98/34187			8/6/98	
	WIPO	WO 99/63461			12/9/99	
	JP	409101994A			4/97	
	JP	410078992A			3/98	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Exr Initials	Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ₁
	Andrews, "Auctions Catch the Interest of Hobbyists and Big Business", August 24, 1998	
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	"BroadVision Developing First Interactive Commerce Management System To Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture", Business Wire, p5150152, May 15, 1995	

Examiner Signature	/Bijendra K. Shrestha/	Date Considered	09/10/2010
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /BKS/

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	Daphny Cohen, "Computerized Commerce", Information Processing 89, August 28-September 1, 1989	
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	Woolley, "E-muscle", Forbes, March 9, 1998	

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